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( 6th Semester )

COMMERCE

( Honours )

Paper : BC-605

**( Financial Market Operations )**

Full Marks : 70  
Pass Marks : 45%

Time : 3 hours

*The figures in the margin indicate full marks  
for the questions*

1. (a) Discuss the different instruments available in money market. 14

Or

- (b) Give a brief account of the recent trends in Indian money market. 14

2. (a) What is listing of securities? Discuss the objectives and legal requirements of listing of securities. 3+4+7=14

Or

- (b) Explain the different methods of pricing and marketing the securities in new issue market. 6+8=14

3. (a) Discuss the main provisions of the Securities Contracts (Regulation) Act, 1956. 14

Or

- (b) Discuss the various penalties imposed by SEBI on various companies. How are investors protected in present scenario? 10+4=14

4. (a) What role do NRIs play in stock exchanges? What are the steps taken by the government to attract NRIs to invest in India? 4+10=14

Or

- (b) Who are the functionaries of stock exchange? Explain the various types of functionaries on stock exchange. 2+12=14

( 3 )

5. (a) Explain the concept of credit rating.  
Discuss the different types of credit  
rating. 4+10=14

*Or*

- (b) Discuss the various financial services  
available in Indian money market. 14

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